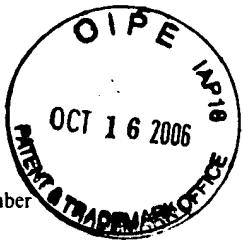


32692

Customer Number



IFW/

Patent
Case No.: 56464US003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OXMAN, JOEL D.

Application No.: 10/626,261

Confirmation No.: 9585

Filed: July 24, 2003

Title: HARDENABLE THERMALLY RESPONSIVE COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signed by: Judy Knutson

Oct. 12, 2006 *Judy Knutson*

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

10/17/2006 JBALINAN 0000023 133723 60443970

01 FC:1806 100.00 DA

10/17/2006 JBALINAN 0000023 133723 10626261

01 FC:1806 100.00 DA

Fees:

Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.

One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

Oct. 9, 2006

Date

By: Sean J. Edman

Sean J. Edman, Reg. No.: 42,506

Telephone No.: 651-575-1796

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

Application Number

10/626,261

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Filing Date

July 24, 2003

First Named Inventor

Oxman, Joel D.

Confirmation Number

Attorney Case Number

56464US003

(use as many sheets as necessary)

OCT 16 2006

Page 1 of 1

U.S. Patent Documents

| Exam. Init.* | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------|-------------|----------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| | | Doc. Number-(Kind Code if Known) | | | |
| | A1 | US- | | | |
| | A2 | US- | | | |
| | A3 | US- | | | |
| | A4 | US- | | | |
| | A5 | US- | | | |
| | A6 | US- | | | |
| | A7 | US- | | | |
| | A8 | US- | | | |
| | A9 | US- | | | |
| | A10 | US- | | | |
| | A11 | US- | | | |
| | A12 | US- | | | |

Foreign Patent Documents

| Exam. Init.* | Cite No. | Foreign Patent Document | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation (Check if yes) |
|-----------------|-------------|-------------------------|----------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|-------------------------------|
| | | Ctry. Code | Number-KindCode (If known) | | | | |
| | B1 | JP | 04-041423 | 02-12-1992 | Okano et al. | | X |
| | B2 | | | | | | |
| | B3 | | | | | | |
| | B4 | | | | | | |
| | B5 | | | | | | |
| | B6 | | | | | | |
| | B7 | | | | | | |

OTHER DOCUMENTS

| | | | |
|-----------------|-------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|
| Exam. Init.* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation (Check if yes) |
| | C1 | | |
| | C2 | | |
| | C3 | | |

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.